

## Density of States

A result of thermodynamics is that if a system is in thermodynamical equilibrium at some temperature  $T$ , then the probability to find a particle with energy  $E_n$  is given by

$$P_n \propto \exp\left(-\frac{E_n}{k_B T}\right) \quad \text{Boltzmann dist. of prob.}$$

$k_B$ : Boltzmann constant

$$[k_B] = \text{J/K}$$

The proportionality symbol indicates a common factor

$$\sum_n P_n = \sum_n C e^{-E_n/k_B T} = 1$$

$$C \sum_n e^{-E_n/k_B T} = 1$$

$$C = \frac{1}{\sum_n e^{-E_n/k_B T}}$$

The probabilities then are:

$$P_n = \frac{e^{-E_n/k_B T}}{\sum_n e^{-E_n/k_B T}}$$

For a quantum harmonic oscillator of frequency  $\omega$ , we know that  $E_n = \hbar\omega n$

$$P_n = \frac{e^{-\hbar\omega n/k_B T}}{\sum_n e^{-\hbar\omega n/k_B T}}$$

$$e^{-h\omega n/k_B T} = (e^{-h\omega/k_B T})^n$$

$$\sum_n x^n = \frac{1}{1-x} \quad \frac{1}{\sum_n x^n} = 1-x$$

$$\text{Then } P_n = e^{-h\omega n/k_B T} (1 - e^{-h\omega/k_B T})$$

The probability to have our oscillator on  $n$ th excited state is

$$\begin{aligned} \langle n \rangle &= \sum_n n P_n = \sum_n n e^{-h\omega n/k_B T} (1 - e^{-h\omega/k_B T}) \\ &= (1 - e^{-h\omega/k_B T}) \sum_n n e^{-h\omega n/k_B T} \end{aligned}$$

$$\sum_n n x^n$$

$$\frac{d}{dx} \sum_n x^n = \sum_n \frac{d}{dx} x^n = \sum_n n x^{n-1}$$

$$x \frac{d}{dx} \sum_n x^n = \sum_n n x^n$$

$$\frac{d}{dx} \sum_n x^n = \frac{d}{dx} \frac{1}{1-x} = \frac{1}{(1-x)^2}$$

$$\left. \begin{aligned} \sum_n n x^n &= x \frac{d}{dx} \sum_n x^n \\ &= \frac{x}{(1-x)^2} \end{aligned} \right\}$$

$$\langle n \rangle = (1 - e^{-h\omega/k_B T}) \frac{e^{-h\omega/k_B T}}{(1 - e^{-h\omega/k_B T})^2}$$

$$= \frac{e^{-h\omega/k_B T}}{1 - e^{-h\omega/k_B T}} = \frac{e^{-h\omega/k_B T}}{e^{h\omega/k_B T} (e^{h\omega/k_B T} - 1)}$$

$$\langle n \rangle = \frac{1}{e^{h\omega/k_B T} - 1}$$

Planck  
Distribution



The total energy of the quantum oscillator is given by

$$U = \sum_{\mathbf{k}} \sum_{\mathbf{p}} \langle n \rangle_{\mathbf{k}, \mathbf{p}} \hbar \omega_{\mathbf{k}, \mathbf{p}} = \sum_{\mathbf{k}} \sum_{\mathbf{p}} \frac{\hbar \omega_{\mathbf{k}, \mathbf{p}}}{e^{\hbar \omega_{\mathbf{k}, \mathbf{p}} / k_B T} - 1}$$

The summation is done over all wavevectors  $\mathbf{k}$  and over all polarization  $\mathbf{p}$ .

Although there are 3 polarization per wavevector, the latter are rather a cont. variable.  $\sum_{\mathbf{k}} \rightarrow \int d\mathbf{k}$   
 We have to take into account the weight that wavevector has in a range of frequencies  $\omega$  to  $\omega + d\omega$   
 weight is given by  $D(\omega) d\omega$

$$U = \sum_{\mathbf{p}} \int d\omega D(\omega) \frac{\hbar \omega_{\mathbf{k}, \mathbf{p}}}{e^{\hbar \omega_{\mathbf{k}, \mathbf{p}} / k_B T} - 1}$$

Total energy of the collection of oscillators

Once the energy is known, we can obtain e.g. the heat capacity of the crystal

Heat capacity: How energy varies when we change temperature

$$C_{\text{latt}} = \frac{\partial U}{\partial T} = \frac{\partial}{\partial T} \sum_{\mathbf{p}} \int d\omega D(\omega) \frac{\hbar \omega}{e^{\hbar \omega / k_B T} - 1}$$

$$= \sum_{\mathbf{p}} \int d\omega D(\omega) \hbar \omega \frac{\partial}{\partial T} \frac{1}{e^{\hbar \omega / k_B T} - 1}$$

$$\frac{\partial}{\partial T} \frac{1}{e^{\hbar \omega / k_B T} - 1} = \frac{1}{(e^{\hbar \omega / k_B T} - 1)^2} e^{\hbar \omega / k_B T} \frac{\hbar \omega}{k_B T^2} \frac{\hbar \omega}{k_B} \frac{k_B}{\hbar \omega}$$

$$= \frac{e^{\hbar \omega / k_B T}}{(e^{\hbar \omega / k_B T} - 1)^2} \left( \frac{\hbar \omega}{k_B T} \right)^2 \frac{k_B}{\hbar \omega}$$

$$C_{\text{latt}} = \sum_p \int d\omega D(\omega) \hbar\omega \frac{e^{\hbar\omega/k_B T}}{(e^{\hbar\omega/k_B T} - 1)^2} \left(\frac{\hbar\omega}{k_B T}\right)^2 \frac{k_B}{\hbar\omega}$$

$$C_{\text{latt}} = k_B \sum_p \int d\omega D(\omega) \left(\frac{\hbar\omega}{k_B T}\right)^2 \frac{e^{\hbar\omega/k_B T}}{(e^{\hbar\omega/k_B T} - 1)^2}$$

The central problem is to find  $D(\omega)$

The number of modes per unit frequency range

This function is called the **Density of states**